

## DRIS Mandate Form

If you are in any doubt as to the action you should take in relation to this Mandate Form, you should consult an appropriate independent financial adviser authorised under the Financial Services and Markets Act 2000 before completing this Mandate Form. The attention of DRIS participants and those Shareholders who are considering participating in the DRIS is drawn to the risk factors available at <https://www.hargreaveaimvcts.co.uk/>. Shareholders should take advice from an independent financial adviser as to whether to take up additional Ordinary Shares in the Company in this way. Shareholders who are in any doubt as to their eligibility for VCT tax reliefs should consult their professional advisers. Nothing in this document should be regarded as a recommendation to buy or hold Ordinary Shares.

If you wish to apply to join the Dividend Re-investment Scheme, this Mandate Form should be completed in full and **returned to Equiniti Limited, Aspect House, Spencer Road, Lancing BN99 6DA**. Before completing this Mandate Form you should read the DRIS Terms and Conditions and the Risk Factors as modified from time to time available at <https://www.hargreaveaimvcts.co.uk/>. If you have any queries in connection with the DRIS please contact the DRIS Manager on 0207 523 4837. Unless otherwise defined, terms defined in the DRIS Terms and Conditions available on the Company's website have the same meaning in this Mandate Form.

Where Ordinary Shares are registered in the name of a Nominee Shareholder, the Nominee Shareholder should complete the section 1 "Shareholder Details" of this Mandate Form and the Nominee Shareholder and the Beneficial Shareholder should both complete section 2 "Nominee Shareholder" on the reverse of this Mandate Form and confirm that the dividends attributable to such Beneficial Shareholder shall be applied towards participation in the DRIS and indicate in whose name the new Ordinary Shares should be registered. This process is intended to record that the Beneficial Shareholder is authorising the Nominee Shareholder to subscribe for new shares to be held in the Nominee Shareholder's name whilst still enabling the Beneficial Shareholder to obtain income tax relief on the subscription for new Ordinary Shares.

### **Applications**

Please tick the following box if you wish to apply to join the DRIS.

I, the undersigned, being the registered holder from time to time of Ordinary Shares in Hargreave Hale AIM VCT plc, elect to receive **ALL FUTURE DIVIDENDS** including all annual dividends and special dividends (if any) in respect of which the DRIS is available in the form  of new Ordinary Shares.

In respect of the above election, if you wish to make a mandate to receive new Ordinary Shares (which will be in respect of the full number of Ordinary Shares in your holding), please tick the box above and complete the information required below.

### **Section 1 Shareholder Details**

Shareholder reference number (shown on share certificate and dividend stationery)		<input type="text"/>
First Name:	<input type="text"/>	Surname: <input type="text"/>
Date of Birth:	<input type="text"/>	National Insurance no: <input type="text"/>
Address: <input type="text"/>		
Daytime phone number (for queries only):	<input type="text"/>	Postcode: <input type="text"/>
Signature:	<input type="text"/>	Date: <input type="text"/>

In the case of a corporation, this Mandate Form should be executed under the common seal (or otherwise executed as a deed) or be signed by a duly authorised official whose capacity should be stated. All enquiries regarding this Mandate Form should be addressed to Equiniti Limited, Aspect House, Spencer Road, Lancing BN99 6DA. Telephone number 0371 384 2714 (+44 121 415 7047 from overseas) Lines open Monday - Friday, 8:30am to 5:30 pm (excluding public holidays in England and Wales)

## **Section 2 Nominee Shareholder**

Please note that this section 2 should only be completed where Ordinary Shares are registered in the name of a Nominee Shareholder. If the Ordinary Shares are held in the name of the Beneficial Shareholder then only section 1 of the Mandate Form should be completed.

### **To be completed by the Beneficial Shareholder**

I confirm that the dividends attributable to the number of Ordinary Shares set out in Box 1 above shall be applied towards subscription for new Ordinary Shares in accordance with the terms and conditions of the DRIS as modified from time to time.

First Name:	<input type="text"/>	Surname:	<input type="text"/>
Date of Birth:	<input type="text"/>	National Insurance no:	<input type="text"/>
Address:	<input type="text"/>		
		Postcode:	<input type="text"/>
Signature:	<input type="text"/>	Date:	<input type="text"/>

Tick box if Ordinary Shares are to be issued in your name (otherwise they will be issued to the Nominee Shareholder identified below)

### **To be completed by the Nominee Shareholder**

Name of Nominee Shareholder appearing on register	<input type="text"/>		
Address:	<input type="text"/>		
		Postcode:	<input type="text"/>
Authorised Signature:	<input type="text"/>	Date:	<input type="text"/>